



Image

APR 28 34  
#

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: February 9, 2004

  
Christine M. Spivey

PATENT  
36856.510

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hajime KANDO	Art Unit: 2834
Serial No.: 09/887,173	
Filed: June 22, 2001	Examiner: T. Dougherty
Title: <b>SURFACE ACOUSTIC WAVE DEVICE</b>	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith is a copy of one (1) reference cited in the Search Report issued in a corresponding Singapore Patent Application. For the Examiner's convenience, we have enclosed a completed Form PTO-1449. Although the Singapore Search Report cited one additional reference (U.S. 4,162,465), Applicant does not include a copy of this reference as it was previously cited on June 22, 2001 and considered by the Examiner. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this statement, and that this is the first citation of this prior art reference by a foreign patent office in a counterpart foreign patent application.

Applicant petitions the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: February 9, 2004

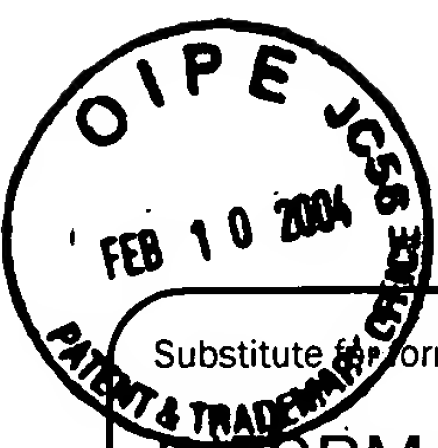


Attorneys for Applicant(s)

Joseph R. Keating  
Registration No. 37,368

Christopher A. Bennett  
Registration No. 46,710

**KEATING & BENNETT LLP**  
**10400 Eaton Place, Suite 312**  
**Fairfax, VA 22030**  
**(703) 385-5200**



Substitute for Form 1449/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number

09/887,173

Filing Date

June 22, 2001

First Named Inventor

Hajime KANDO

Art Unit

2834

Examiner Name

T. Dougherty

Attorney Docket Number

36856.510

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)				
	1	EP 1 143 612 A1	10/2001	Europe		X

Examiner  
Signature

Date  
Considered

\*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.